



UNITED STATES PATENT AND TRADEMARK OFFICE



ESTIMATED AVAILABLE COPY

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/601,789	06/24/2003	3723	1002	2003-0865	18	16	6

CONFIRMATION NO. 9516

000513
WENDEROTH, LIND & PONACK, L.L.P.
2033 K STREET N. W.
SUITE 800
WASHINGTON, DC 20006-1021

SEP 15 2003

FILING RECEIPT



OC000000010867132

Date Mailed: 09/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norio Kimura, Kanagawa, JAPAN;
Yu Ishii, Kanagawa, JAPAN;
Hiroyuki Hiyama, Tokyo, JAPAN;
Katsuya Okumura, Tokyo, JAPAN;
Hiroyuki Yano, Kanagawa, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/897,918 07/05/2001 PAT 6,609,950

Foreign Applications

07/05/2000
JAPAN 203754/2000 07/05/2001 A

If Required, Foreign Filing License Granted: 09/10/2003

Projected Publication Date: 12/18/2003

Non-Publication Request: No

Early Publication Request: No

Title

Apparatus for polishing a substrate

Preliminary Class

451

1ST AVAILABLE COPY

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IPW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Norio KIMURA et al.

Serial No. 10/601,789

Filed June 24, 2003

: Confirmation No. 9516

: Attn: OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS

: Attorney Docket No. 2003-0865

APPARATUS FOR POLISHING A SUBSTRATE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A corrected filing receipt is requested in the above-referenced case. A copy of the original filing receipt is enclosed, with the changes noted thereon.

A Substitute Declaration has now been submitted in the parent application, U.S. Patent No. 6,609,950 to correct the priority information. A copy of the Substitute Declaration is enclosed to correct the priority information in the above-identified U.S. patent application.

Expedited issuance of the corrected filing receipt is respectfully requested.

Respectfully submitted,

Norio KIMURA et al.

By


Nils E. Pedersen

Registration No. 33,145
Attorney for Applicants

NEP/krg
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 30, 2004

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975